

	<p>Performance and Contract Management Committee</p> <p>15 February 2016</p>
<p style="text-align: right;">Title</p>	<p>Report of the Audit Committee Issue raised in the Internal Audit Exception Recommendations Report up to 31 December 2015</p>
<p style="text-align: right;">Report of</p>	<p>Head of Governance</p>
<p style="text-align: right;">Wards</p>	<p>All</p>
<p style="text-align: right;">Status</p>	<p>Public</p>
<p style="text-align: right;">Urgent</p>	<p>No</p>
<p style="text-align: right;">Key</p>	<p>No</p>
<p style="text-align: right;">Enclosures</p>	<p>Appendix A: Excerpt from Internal Audit Progress Report 2015-16 Quarter 3</p>
<p style="text-align: right;">Officer Contact Details</p>	<p>Kirstin Lambert, Governance Team Leader Email: kirstin.lambert@barnet.gov.uk Tel: 020 8359 2177</p>

<p>Summary</p>
<p>The report informs the Committee of an item the Audit Committee has recommended for consideration by the Performance and Contract Management Committee.</p>

<p>Recommendation</p>
<p>1. That the Performance and Contract Management Committee consider the matter referred by the Audit Committee.</p>

1. WHY THIS REPORT IS NEEDED

- 1.1 At the meeting on 28 January 2016, the Audit Committee considered the 'Internal Audit Exception Recommendations Report up to 31 December 2015'. The report included a no assurance audit of the Street Scene Operations Review covering 'Recruitment – conflicts of Interest' and 'Workforce Management – Governance Arrangements'.
- 1.2 Audit Committee discussed the issues identified in the no assurance audit opinion of the Street Scene Operations Review, and the HR Director CSG responded to Members' questions regarding whether the issues uncovered could attest to wider weaknesses in HR procedures. Following this discussion the Committee noted that robust monitoring needs to be in place to ensure policies are being implemented across the Council. The Committee requested that these matters be brought to the attention of the Performance and Contract Management Committee to determine whether there are contract compliance issues which need attention.
- 1.3 Performance and Contract Management Committee is therefore requested to consider these matters. The relevant excerpt of the Internal Audit Exception Recommendations Report is attached as Appendix A.

2. REASONS FOR RECOMMENDATION

- 2.1 No recommendations have been made.

3. ALTERNATIVE OPTIONS CONSIDERED AND NOT RECOMMENDED

- 3.1 Not applicable.

4. POST DECISION IMPLEMENTATION

- 4.1 Post decision implementation will depend on the decision taken by the Committee.

5. IMPLICATIONS OF DECISION

5.1 Corporate Priorities and Performance

- 5.1.1 As and when issues raised and progressed, they will need to be evaluated against the Corporate Plan and other relevant policies.

5.2 Resources (Finance & Value for Money, Procurement, Staffing, IT, Property, Sustainability)

- 5.2.1 None in the context of this report.

5.3 Social Value

- 5.3.1 Not applicable in the context of this report.

5.4 Legal and Constitutional References

5.4.1 The Council's Constitution Responsibility for Functions, Annex A, sets out the terms of reference of the Audit Committee including that the purpose of the Audit Committee is to provide independent assurance of the adequacy of the risk management framework and the associated control environment, independent scrutiny of the authority's financial and non-financial performance to the extent that it affects the authority's exposure to risk and weakens the control environment, and to oversee the financial reporting process.

5.4.2 The Performance and Contract Management Committee terms of reference includes Risk Management and the Monitoring of Performance against targets by Delivery Units and Support Groups including Customer Support Group; Re; the Barnet Group Ltd (including Barnet Homes and Your Choice Barnet); HB Public Law; NSL; Adults and Communities; Family Services; Education and Skills; Street Scene; Public Health; Commissioning Group; and Assurance.

5.5 Risk Management

5.5.1 None in the context of this report.

5.6 Equalities and Diversity

5.6.1 None in the context of this report.

5.7 Consultation and Engagement

5.7.1 None in the context of this report.

5.8 Insight

5.8.1 None in the context of this report.

6. BACKGROUND PAPERS

6.1.1 Audit committee, 28 January 2016, Agenda Item 7, Internal Audit Exception Recommendations Report up to 31 December 2015. <http://barnet.moderngov.co.uk/ieListDocuments.aspx?CId=144&Mid=8415&Ver=4> (pages 5-17).